

# THE GELFAND-NAIMARK THEOREM

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## 1. INTRODUCTION

The aim of this project was to learn some basic definitions and results involving  $C^*$ -algebras, and to understand the proof of the Gelfand Naimark theorem. In this report, we follow the proofs given in [1].

We start by reviewing some basic definitions and examples involving  $C^*$ -algebras in Sections 2 and 3. In Section 4 we define the spectrum and prove the spectral radius formula. In Section 5 we define the maximal ideal space and prove that it is compact. In Section 6 we define the Gelfand transform and prove that it is a homomorphism. In Section 7 we state and prove the Stone-Weierstrass theorem. Finally, in Section 8 we state and prove the Gelfand-Naimark theorem.

## 2. $C^*$ -ALGEBRAS

In this section we review the definition of a  $C^*$ -algebra.

**Definition 1.** An *algebra*  $A$  over  $\mathbb{C}$  is a vector space  $A$  over  $\mathbb{C}$  with a multiplication  $(a, b) \rightarrow ab$  which satisfies:

- *Associativity:*  $a(bc) = (ab)c$  for all  $a, b, c \in A$
- *Distributive Law for addition:*  $a(b+c) = ab+ac$  for all  $a, b, c \in A$
- *Distributive Law for scalar multiplication:*  $z(ab) = (za)b = a(zb)$  for all  $a, b \in A, z \in \mathbb{C}$

The motivation behind the definition of an algebra is to introduce the operation of multiplication to a vector space in such a way that it is compatible with the existing operations.

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**Definition 2.** A **Banach algebra** is an algebra  $A$  over  $\mathbb{C}$  which is also a Banach space (complete normed vector space) and satisfies  $\|ab\| \leq \|a\|\|b\|$  for all  $a, b \in A$ .

The Banach algebra identity is motivated by the desire to make multiplication continuous.

**Definition 3.** A **\*-algebra** is an algebra  $A$  over  $\mathbb{C}$  with an involution  $*$  :  $A \rightarrow A$  satisfying  $(c_1a + c_2b)^* = \overline{c_1}a^* + \overline{c_2}b^*$ ,  $(ab)^* = b^*a^*$  and  $(a^*)^* = a$  for all  $a, b \in A, c_1, c_2 \in \mathbb{C}$ .

The idea behind the definition of an isometry is to generalise conjugation of complex numbers. We want it to be compatible with addition, multiplication and scalar multiplication.

**Definition 4.** A  **$C^*$ -algebra**  $A$  is a Banach \*-algebra satisfying  $\|a^*a\| = \|a\|^2$  for all  $a \in A$ .

The  $C^*$ -identity has several useful consequences, such as involution becoming an isometry, and having  $\|1\| = 1$ .

**Definition 5.** A  $C^*$ -algebra  $A$  is **commutative** if  $ab = ba$  for all  $a, b \in A$ .

### 3. EXAMPLES

In this section we look at several examples of  $C^*$ -algebras.

**Example 1.** *The complex numbers*

Note that  $\mathbb{C}$  is an algebra over  $\mathbb{C}$ . The norm is given by  $|a + bi| = \sqrt{a^2 + b^2}$  and involution given by complex conjugation. It is well known that  $\mathbb{C}$  is complete, and hence a Banach space. To show it is a Banach algebra, we check the Banach algebra identity. For  $a + bi, c + di \in \mathbb{C}$ :

$$\begin{aligned} |(a + bi)(c + di)| &= |(ac - bd) + i(bc + ad)| \\ &= \sqrt{(ac - bd)^2 + (bc + ad)^2} \\ &= \sqrt{a^2c^2 - 2abcd + b^2d^2 + b^2c^2 + a^2d^2 + 2abcd} \\ &= \sqrt{a^2c^2 + b^2d^2 + b^2c^2 + a^2d^2} \end{aligned}$$

$$= \sqrt{a^2 + b^2} \sqrt{c^2 + d^2}$$

$$= |a + bi| |c + di|$$

as required.

To prove that it is an involution: Fix vectors  $a, b \in \mathbb{C}$  and scalars  $c, d \in \mathbb{C}$ . We check:

- $(ca + db)^* = \overline{ca + db} = \overline{ca} + \overline{db} = \overline{c}a^* + \overline{d}b^*$
- $(ab)^* = \overline{ab} = \overline{a}b = a^*b^*$
- $(a^*)^* = \overline{\overline{a}} = a$

Finally, we verify the  $C^*$  identity: Fix  $a \in \mathbb{C}$ .

$$|a^*a| = |\overline{a}a| = |a|^2$$

as required.  $\mathbb{C}$  is a commutative  $C^*$ -algebra.

**Example 2.**  $B(H)$ , the set of bounded linear operators on a Hilbert space  $H$

We say that a linear operator  $T : X \rightarrow Y$  is **bounded** if there exists some  $M > 0$  such that for all  $v \in X$ , we have  $\|Tv\|_Y \leq M\|v\|_X$ .

We define the operator norm for a bounded linear operator  $T : H \rightarrow H$  as

$$\|T\|_{op} = \sup\{\|Th\| : h \in H, \|h\| < 1\}$$

For every bounded linear operator  $T : H \rightarrow H$ , there is a unique bounded linear operator  $T^*$  satisfying

$$\langle T(h), k \rangle = \langle h, T^*(k) \rangle$$

for all  $h, k \in H$ .

The space  $B(H)$  with norm given by the operator norm and involution given by the adjoint operation is a  $C^*$ -algebra.

**Example 3.**  $M_n(\mathbb{C})$ , the set of  $n \times n$  matrices with entries in  $\mathbb{C}$

$M_n(\mathbb{C}) = B(\mathbb{C}^n)$ , that is, the set of bounded linear operators on  $\mathbb{C}^n$ . Hence, this space is a  $C^*$ -algebra as a consequence of the previous example.

**Example 4.**  $C(X)$ , the space of continuous functions on compact Hausdorff space  $X$

Here we use the sup-norm:  $\|f\|_\infty = \sup\{|f(x)| : x \in X\}$  and involution given by pointwise complex conjugation,  $f^*(x) = \overline{f(x)}$ . The norm is always finite since  $X$  is compact and  $f$  is continuous.

$C(X)$  is an algebra since linear combinations and products of continuous functions are also continuous. It is a Banach algebra since it is complete, and the necessary norm identity is proved as follows:

$$\|fg\| = \sup|f(x)g(x)| \leq (\sup|f(x)|)(\sup|g(x)|)$$

since  $(\sup|f(x)|)(\sup|g(x)|)$  is an upper bound for  $|f(x)g(x)|$  (and is hence greater than or equal to its supremum). So  $\|fg\| \leq \|f\|\|g\|$ .

We check that pointwise conjugation is an involution:

For constants  $c, d \in \mathbb{C}$ , we have  $(cf + dg)^*(x) = \overline{(cf + dg)(x)} = \overline{cf(x) + dg(x)} = \overline{cf(x)} + \overline{dg(x)} = \overline{c}f^*(x) + \overline{d}g^*(x)$ . Now,  $(fg)^*(x) = \overline{fg(x)} = \overline{f(x)g(x)} = \overline{f(x)}\overline{g(x)} = f^*(x)g^*(x)$ . Finally,  $(f^*)^*(x) = \overline{\overline{f(x)}} = f(x)$ , so all the properties are satisfied.

To show that  $C(X)$  is indeed a  $C^*$ -algebra, we must verify the  $C^*$  identity:

$\|f^*f\| = \sup_{x \in X} |\overline{f(x)}f(x)| = \sup(|f(x)|^2) = (\sup|f(x)|^2) = \|f\|^2$  as required.

#### 4. THE SPECTRUM AND THE SPECTRAL RADIUS

In this section we define the spectrum of an element of a Banach algebra and prove the spectral radius formula.

**Definition 6.** Let  $A$  be a unital Banach algebra. An element  $a \in A$  is **invertible** if there exists  $b \in A$  with  $ab = ba = 1$ . We say that  $b$  is the **inverse** of  $a$ .

**Definition 7.** Let  $A$  be a unital Banach algebra. Let  $a \in A$ . The **spectrum** of  $a$  is  $\sigma_A(a) := \{\lambda \in \mathbb{C} : a - \lambda 1 \text{ is not invertible}\}$ .

**Theorem 1.** Let  $A$  be a unital Banach algebra. Let  $a \in A$ . Then  $\lim_{n \rightarrow \infty} \|a^n\|^{\frac{1}{n}}$  exists and is equal to  $r(a) := \max\{|\lambda| : \lambda \in \sigma(a)\}$ , the **spectral radius** of  $a$ .

*Proof.* To show that  $\lim_{n \rightarrow \infty} \|a^n\|^{\frac{1}{n}} = r(a)$ , we prove firstly that  $r(a) \leq \|a^n\|^{\frac{1}{n}}$  for all  $n \in \mathbb{N}$ , and secondly that for every  $\epsilon > 0$ , we have  $\|a^n\|^{\frac{1}{n}} < r(a) + \epsilon$  for sufficiently large  $n$ .

For the first statement: fix  $\lambda \in \mathbb{C}$ . We have

$$(a^n - \lambda^n 1) = (a - \lambda 1)(a^{n-1} + \lambda a^{n-2} + \dots + \lambda^{n-1} 1)$$

So if  $a^n - \lambda^n 1$  is invertible then  $a - \lambda 1$  is also. From the above equation, we have

$$(a - \lambda 1)[(a^{n-1} + \dots + \lambda^{n-1} 1)(a^n - \lambda^n 1)^{-1}] = (a^n - \lambda^n 1)(a^n - \lambda^n 1)^{-1} = 1$$

so  $[(a^{n-1} + \dots + \lambda^{n-1} 1)(a^n - \lambda^n 1)^{-1}]$  is a right inverse for  $a - \lambda 1$ . Similarly,  $(a^n - \lambda^n 1)^{-1}(a^{n-1} + \dots + \lambda^{n-1} 1)$  is a left inverse for  $a - \lambda 1$ . These inverses must be equal (to a left and right inverse for  $a - \lambda 1$ ), so we have

$$\begin{aligned} \lambda \in \sigma(a) &\Leftrightarrow (a - \lambda 1) \text{ is not invertible in } A \\ &\Rightarrow \lambda^n \in \sigma(a^n) \end{aligned}$$

Since  $\sigma(a)$  is a non-empty, closed, bounded subset of  $\mathbb{C}$  contained in the closed ball  $\overline{B}(0, \|a\|)$  (see theorem 7 in [1]), we have  $|\lambda^n| \leq \|a^n\|$  for all  $\lambda \in \sigma(a)$ . Choose  $\lambda$  such that  $|\lambda| = r(a)$ , giving  $r(a)^n \leq \|a^n\|$ .

For the second statement: Fix  $\phi \in A^*$ . Consider  $f(\lambda) = \phi((a - \lambda 1)^{-1})$ . We know that  $f$  is analytic on  $\mathbb{C} \setminus \sigma(a)$  (again by Theorem 7 in [1]). So  $f$  is analytic for  $|\lambda| > r(a)$ , and it has a Laurent expansion in this region. If  $|\lambda| > \|a\|$  (which is greater than  $r(a)$ ), we have

$$f(\lambda) = \phi(-\frac{1}{\lambda}(1 - \frac{a}{\lambda})^{-1}) = \phi(-\frac{1}{\lambda} \sum (\frac{a}{\lambda})^n) = -\frac{1}{\lambda} \sum \frac{\phi(a^n)}{\lambda^n}$$

Note that since  $\|\frac{a}{\lambda}\| < 1$ , the series converges for all  $|\lambda| > \|a\|$ , so must be the (unique) Laurent expansion for  $f$  on  $|\lambda| > \|a\|$ . Since any function has a unique Laurent series expansion in any particular annulus, this must be the Laurent expansion for  $f$  on  $|\lambda| > r(a)$ .

Fix  $\lambda$ , where  $|\lambda| > r(a)$ . For all  $\phi \in A^*$ , there exists a constant  $M_\phi$  depending on  $\phi$  such that

$$|\phi(a^n)\lambda^{-n}| \leq M_\phi \quad \forall n$$

So, using the uniform boundedness principle,  $\{\psi_n : \phi \mapsto \phi(a^n)\lambda^{-n}\}$ , a family of linear functionals on  $A^*$ , satisfies  $\|\psi_n\| \leq M$  for all  $n$ , where  $M$  is some constant.

The Hahn-Banach theorem gives that  $\|\phi_n\| = \|a^n\lambda^{-n}\| = \|a^n\|\lambda^{-n}$ . Hence,  $\|a^n\| \leq M|\lambda^n|$ .

So, for any fixed  $|\lambda| > r(a)$ , there is an  $M$  such that  $\|a^n\|^{\frac{1}{n}} \leq M^{\frac{1}{n}}|\lambda|$ . As  $n \rightarrow \infty$ , we have  $M^{\frac{1}{n}} \rightarrow 1$ . Choose  $\lambda$  such that  $r(a) < |\lambda| < r(a) + \epsilon$ . Then  $\|a^n\|^{\frac{1}{n}} \leq M^{\frac{1}{n}}|\lambda| < r(a) + \epsilon$  for sufficiently large  $n$ . This proves the second statement.

□

## 5. IDEALS

Let  $A$  be a commutative, unital Banach algebra, and  $\Delta_A$  be the maximal ideal space of  $A$ . It can be shown that  $\Delta_A$  is the space of non-zero homomorphisms from  $A$  to  $\mathbb{C}$  (see [1]) since maximal ideals of  $A$  correspond to the kernels of the non-zero homomorphisms  $\phi : A \rightarrow \mathbb{C}$ .

**Definition 8.** *Let  $(X, \tau)$  be a topological vector space. The **weak-\* topology** on  $X^*$  is the coarsest topology in which every  $x \in X$  corresponds to a continuous map on  $X^*$ .*

**Theorem 2.** *For any commutative, unital Banach algebra  $A$ ,  $\Delta$  is compact in the weak-\* topology on  $A^*$ .*

*Proof.* Alaoglu's theorem (see [1]) states that  $\{\phi \in X^* : \|\phi\| \leq 1\}$  is compact in the weak\* topology. We have  $\Delta \subset \{\phi \in X^* : \|\phi\| \leq 1\}$ , so to prove that  $\Delta$  is compact, we simply need to show that it is closed.

We have

$$\begin{aligned}\Delta &= \{\phi \in X^* : \|\phi\| \leq 1 \quad \text{and} \quad \phi(ab) - \phi(a)\phi(b) = 0 \forall a, b \in A\} \\ &= \bigcap_{a, b \in A} \{\phi \in X^* : \|\phi\| \leq 1 \quad \text{and} \quad \phi(ab) - \phi(a)\phi(b) = 0\}\end{aligned}$$

We define  $q_{a,b}(\phi) = \phi(ab) - \phi(a)\phi(b)$ . So:

$$\Delta = \bigcap_{a, b \in A} q_{a,b}^{-1}(0) \cap \{\phi \in A^* : \|\phi\| \leq 1\}$$

We know that a compact set in a Hausdorff space is closed, so  $\{\phi \in A^* : \|\phi\| \leq 1\}$  is closed. By the continuity of the  $q_{a,b}$ , the set  $q_{a,b}^{-1}(0)$  is closed. So  $\Delta$  is the finite intersection of closed sets, and is therefore also closed.

□

## 6. THE GELFAND TRANSFORM

**Definition 9.** Let  $A$  be a commutative, unital Banach algebra. Let  $\Delta$  be its maximal ideal space. The **Gelfand transform** of  $a \in A$  is the function  $\widehat{a} : \Delta \rightarrow \mathbb{C}$  defined by  $\widehat{a}(\phi) = \phi(a)$ .

**Theorem 3.** Let  $A$  be a commutative, unital Banach algebra. Let  $\Delta$  be its maximal ideal space. Then the Gelfand transform is a norm-decreasing, unital homomorphism from  $A$  to  $C(\Delta)$ .

*Proof.* To prove that  $\widehat{a}$  is continuous, fix  $a \in A$  and suppose  $\phi_n \rightarrow \phi$  in  $\Delta$ . Then

$$\widehat{a}(\phi_n) = \phi_n(a) \rightarrow \phi(a) = \widehat{a}(\phi)$$

So  $\widehat{a}$  is continuous.

The map  $a \rightarrow \widehat{a}$  is linear:

$$\widehat{c_1 a + c_2 b}(\phi) = \phi(c_1 a + c_2 b) = c_1 \phi(a) + c_2 \phi(b) = c_1 \widehat{a}(\phi) + c_2 \widehat{b}(\phi)$$

This uses the linearity of  $\phi$ . Similarly,  $a \rightarrow \widehat{a}$  is multiplicative:

$$\widehat{ab}(\phi) = \phi(ab) = \phi(a)\phi(b) = \widehat{a}(\phi)\widehat{b}(\phi)$$

We have  $\phi(1_A) = 1$  for all  $\phi \in \Delta$ . So  $\widehat{1_A}(\phi) = \phi(1_A) = 1 = 1_{C(\Delta)}(\phi)$ .

Now:

$$\|\widehat{a}\|_\infty = \sup_{\phi \in \Delta} |\widehat{a}(\phi)| = \sup_{\phi \in \Delta} |\phi(a)| \leq \sup_{\phi \in \Delta} \|\phi\| \|a\| = \|a\|$$

since  $\|\phi\| = 1$ . Hence, the Gelfand transform is norm-decreasing.

□

**Theorem 4.** *Let  $A$  be a commutative, unital Banach algebra. Then  $a \in A$  is invertible iff  $\widehat{a} \in C(\Delta)$  is invertible.*

*Proof.* If  $a$  is invertible in  $A$  then  $\widehat{a^{-1}a} = \widehat{a^{-1}}\widehat{a} = \widehat{1} = 1$  so  $\widehat{a^{-1}}$  is the inverse of  $\widehat{a}$ , and is invertible in  $C(\Delta)$ .

Conversely, suppose  $a$  is not invertible in  $A$ .  $J := \{ab : b \in A\}$  is a proper ideal in  $A$  :  $1 \notin J$  since  $1 \in J$  implies that  $a$  is invertible. So  $J$  is contained in a maximal ideal  $M = \ker(\phi)$  for some  $\phi \in \Delta$  (see Propositions 13 and 14 in [1]). Finally,  $J \subset \ker(\phi)$  implies that  $a \in \ker(\phi)$ , so  $\widehat{a}(\phi) = \phi(a) = 0$ , and  $\widehat{a}$  is not invertible in  $C(\Delta)$ . □

**Theorem 5.** *The spectrum of  $a \in A$  is equal to the range of  $\widehat{a}$ , and  $\|\widehat{a}\|_\infty = r(a)$ , the spectral radius.*

*Proof.* Let  $\lambda \in \mathbb{C}$ . Then

$$\lambda \notin \sigma(a) \Leftrightarrow \lambda 1 - a \text{ invertible in } A$$

$$\Leftrightarrow \widehat{\lambda 1 - a} \text{ is invertible in } C(\Delta)$$

$$\Leftrightarrow \lambda \widehat{1} - \widehat{a} \text{ is invertible in } C(\Delta)$$

$$\Leftrightarrow \lambda \widehat{1}(\phi) - \widehat{a}(\phi) \neq 0 \text{ for all } \phi \in \Delta.$$

$$\Leftrightarrow \lambda \text{ is not in the range of } \widehat{a}$$



So  $\sigma(a) = \text{range}(\widehat{a})$ , and

$$\begin{aligned} r(a) &= \sup\{|\lambda| : \lambda \in \sigma(a) = \text{range}(\widehat{a})\} \\ &= \sup\{|\widehat{a}(\phi)| : \phi \in \Delta\} \\ &= \|\widehat{a}\|_\infty \end{aligned}$$

□

## 7. THE STONE WEIERSTRASS THEOREM

**Theorem 6.** *Let  $X$  be a compact Hausdorff space. If  $A$  is a  $C^*$ -subalgebra of  $C(X)$  with an identity which satisfies the property that for every  $x \neq y \in X$  there is  $a \in A$  with  $a(x) \neq a(y)$  then  $A = C(X)$ .*

We follow the proof given in [2]. Firstly, we state two definitions:

**Definition 10.** *A vector subspace  $A \subset C(X)$  is called a **function lattice** if  $f, g \in A \Rightarrow \max\{f, g\}, \min\{f, g\} \in A$ .*

**Definition 11.**  *$A \subset C(X)$  **separates points strongly** if for  $x, y \in X$  and  $a, b \in \mathbb{R}$ , there exists  $f \in A$  such that  $f(x) = a, f(y) = b$ .*

We will use the following lemmas:

**Lemma 1.** *If  $A \subset C(X)$  separates points and contains the constant functions, then it separates points strongly.*

*Proof.* Fix  $x, y \in X$ . Find  $g \in A$  such that  $g(x) = \tilde{a}, g(y) = \tilde{b}$ , where  $\tilde{a} \neq \tilde{b}$ . Then  $h = \frac{g - \tilde{a}}{\tilde{b} - \tilde{a}}$  satisfies  $h(x) = 0$  and  $h(y) = 1$ , so  $f = (b - a)h + a$  satisfies  $f(x) = a, f(y) = b$ , and hence,  $A$  separates points strongly. □

**Lemma 2.** *For any subalgebra  $A \subset C(X)$ , its uniform closure (the set of all functions which are limits of uniformly convergent sequences of members of  $A$ ) is a function lattice.*

*Proof.* Fix  $\epsilon > 0$ . The function  $t \mapsto (\epsilon^2 + t)^{\frac{1}{2}}$  has a power series expansion that converges uniformly on  $[0, 1]$ , so we can find a polynomial  $p(t)$  such that  $|(\epsilon^2 + t)^{\frac{1}{2}} - p(t)| < \epsilon$  for all  $t \in [0, 1]$ . When  $t = 0$ , we have  $|p(0)| < 2\epsilon$ . Define  $q(t) := p(t) - p(0)$ . We have  $q(f) \in A$  for any  $f \in A$  - if  $\|f\|_\infty \leq 1$  then

$$\begin{aligned}
\|q(f^2) - |f|\|_\infty &= \sup_{x \in X} |q(f^2(x)) - f^2(x)^{\frac{1}{2}}| \\
&\leq \sup_{t \in [0,1]} |p(t) - p(0) - t^{\frac{1}{2}}| \\
&\leq 2\epsilon + \sup_{t \in [0,1]} |p(t) - t^{\frac{1}{2}}| \\
&\leq 3\epsilon + \sup_{t \in [0,1]} |(\epsilon^2 + t)^{\frac{1}{2}} - t^{\frac{1}{2}}| \\
&\leq 4\epsilon
\end{aligned}$$

$|f| \in \bar{A}$  since  $q(f^2) \in A$ .

We have  $\max\{f, g\} = (f+g+|f-g|)$  and  $\min\{f, g\} = (f+g-|f-g|)$ , so  $\max\{f, g\}$  and  $\min\{f, g\}$  are also in  $\bar{A}$ .

□

**Lemma 3.** *Let  $A$  be a function lattice which separates points strongly. Then  $A$  is uniformly dense in  $C(X)$ .*

*Proof.* Fix  $\epsilon > 0$ ,  $f \in C(X)$ . The aim is to find  $f_\epsilon \in A$  with  $\|f - f_\epsilon\|_\infty < \epsilon$ . Since  $A$  separates points strongly, we can find  $f_{xy} \in A$  with  $f_{xy}(x) = f(x)$ ,  $f_{xy}(y) = f(y)$ .

Let  $U_{xy} := \{z \in X : f(z) < f_{xy}(z) + \epsilon\}$ ,  $V_{xy} := \{z \in X : f_{xy}(z) - \epsilon < f(z)\}$ . These are both open sets with  $x, y \in U_{xy} \cap V_{xy}$ .

Fix  $x$ . As  $y$  varies,  $U_{xy}$  cover  $X$ . Since  $X$  is compact, there exists a finite subcover  $U_{xy_i}$ ,  $i = 1, \dots, n$ . Let  $f_x := \max f_{xy_i}$ . We have  $f_x \in A$  since  $A$  is a function lattice. Also,  $f(z) < f_x(z) + \epsilon$  for all  $z \in X$ . Let  $W_x = \cap V_{xy_i}$ .  $W_x$  is an open neighbourhood of  $x$  and  $f_x(z) < f(z) + \epsilon$  for all  $z \in W_x$ . The sets  $\{W_x\}$  cover  $X$ , so there is exists a finite subcover  $\{W_{x_i}\}$ ,  $i = 1, \dots, m$ . Let  $f_\epsilon = \min\{f_{x_i}\} \in A$ . We have  $f(z) < f_\epsilon(z) + \epsilon$  for all  $z \in X$ , and additionally,  $f_\epsilon(z) < f(z) + \epsilon$  for all  $z \in X$ . This gives the result.

□

*Proof.* The proof of the theorem is as follows: using the first lemma,  $A$  separates points strongly.  $\bar{A}$  also separates points strongly, so, using the second lemma, it is a function lattice. By the last lemma,  $\bar{A}$  is uniformly dense in  $C(X)$ .

□

## 8. THE GELFAND-NAIMARK THEOREM

*In this section we prove the Gelfand-Naimark theorem.*

**Theorem 7.** *If  $A$  is a commutative, unital  $C^*$ -algebra, and  $\Delta$  is the space of non-zero homomorphisms from  $A$  to  $\mathbb{C}$  then  $A \cong C(\Delta)$ , and the isomorphism is given by the Gelfand transform.*

**Lemma 4.** *If  $f : X \rightarrow Y$  is an isometry between two metric spaces  $X, Y$  then  $f$  is injective.*

*Proof.* If  $f(x) \neq f(y)$  then  $\|f(x) - f(y)\| > 0$  so  $\|x - y\| > 0$ , and hence  $x \neq y$ . □

*The proof of the theorem follows:*

*Proof.* We aim to show that the Gelfand transform is an isometric  $*$ -isomorphism between  $A$  and  $C(\Delta)$ , that is, that for all  $a \in A$ :

$$\text{\textit{*}-preserving: } \widehat{a^*} = (\widehat{a})^*$$

We have  $\widehat{a^*}(\phi) = \phi(a^*) = \overline{\phi(a)} = (\widehat{a})^*$ . The only equality that needs explanation is the second, that is,  $\phi(a^*) = \overline{\phi(a)}$ . The proof is as follows.

Every element of  $A$  can be written in the form  $b + ic$  where  $b, c$  are both self-adjoint elements of  $A$ : set

$$b = \frac{a+a^*}{2}, c = -i\left(\frac{a-a^*}{2}\right)$$

Now, fix  $\phi \in \Delta$ . If  $\phi(b), \phi(c) \in \mathbb{R}$  then

$$\begin{aligned} \phi(a^*) &= \phi((b + ic)^*) = \phi(b^* - ic^*) = \phi(b - ic) = \phi(b) - i\phi(c) = \\ &= \overline{\phi(b) + i\phi(c)} = \overline{\phi(b + ic)} = \overline{\phi(a)} \end{aligned}$$

So we just need to show that  $\phi(d)$  is real for self-adjoint  $d$ . Suppose  $\phi(d) = x + iy$  for some  $x, y \in \mathbb{R}$ . Let  $l_t = d + (it)1$  where  $t \in \mathbb{R}$ . We have

$$\begin{aligned}
|\phi(l_t)|^2 &= |\phi(d) + it\phi(1)|^2 \\
&= |x + i(y + t)|^2 \text{ since } \phi(1) = 1 \\
&= x^2 + (y + t)^2
\end{aligned}$$

Now,  $\|\phi\|_{op} = 1$  so  $|\phi(l_t)|^2 \leq \|l_t\|^2 = \|l_t^* l_t\| = \|(d - (it)1)(d + (it)1)\| = \|d^2 + (t^2)1\| \leq \|d^2\| + t^2$  by the triangle inequality.

Hence,  $x^2 + (y + t)^2 \leq \|d^2\| + t^2$ , and so  $x^2 + y^2 + 2yt \leq \|d^2\|$  for all  $t \in \mathbb{R}$ . This is not true unless  $y = 0$  so we must have  $\phi(d) = x \in \mathbb{R}$ .

**Isometry:**  $\|a\|_A = \|\widehat{a}\|_\infty$

We compute

$$\begin{aligned}
\|\widehat{a}\|_\infty &= r(a) \text{ using the spectral radius formula and theorem 5} \\
&= \lim_{n \rightarrow \infty} \|a^n\|^{\frac{1}{n}} \\
&= \lim_{n \rightarrow \infty} \|a^{2^n}\|^{\frac{1}{2^n}} \text{ using the spectral radius formula}
\end{aligned}$$

For a self-adjoint element  $a$ , we have  $\|a^2\| = \|a^*a\| = \|a\|^2$ . Using induction, we conclude that  $\|a^{2^n}\| = \|a\|^{2^n}$ .

So  $\|a^{2^n}\|^{\frac{1}{2^n}} = \|a\|$ , and we have  $\|\widehat{a}\|_\infty = \lim_{n \rightarrow \infty} \|a\| = \|a\|$ .

Now, suppose that  $a \in A$  is arbitrary. We have

$$\begin{aligned}
\|a\|^2 &= \|a^*a\| \text{ since } A \text{ is a C}^*\text{-algebra} \\
&= \|\widehat{a^*a}\| \text{ using the argument above since } a^*a \text{ is self-adjoint} \\
&= \|(\widehat{a^*a})\| \text{ since the Gelfand transform is multiplicative} \\
&= \|\widehat{a}\|^2 \text{ since } C(\Delta) \text{ is a C}^*\text{-algebra}
\end{aligned}$$

**Surjectivity**

Note that  $B = \{\widehat{a} : a \in A\}$  is a sub-algebra of  $C(\Delta)$ : the Gelfand transform is a unital homomorphism, so  $1 \in B$ , and since it is an isometry (we just proved this),  $B$  is closed since  $A$  is a Banach space. If  $\phi \neq \psi \in \Delta$ , there must be some  $a \in A$  such that  $\phi(a) \neq \psi(a)$ , hence,

$\widehat{a}(\phi) \neq \widehat{a}(\psi)$ . So  $B$  separates points of  $\Delta$ , and by the Stone-Weierstrass theorem, we have  $B = C(\Delta)$ . Hence, we have surjectivity.

□

## REFERENCES

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